

A TWO PARAMETERS MODEL OF RELATIVISTIC POINT INTERACTIONS IN ONE DIMENSION

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Abstract

We introduce and study a new 2-parameters model of relativistic point interactions in one dimension formally given by

$$D_{\underline{\alpha},y} = D + \underline{\alpha}\delta(x-y); x \in \mathbb{R}, y > 0$$

where D is the free Dirac Hamiltonian and $\underline{\alpha}$ is a 2×2 matrix given by

$$\underline{\alpha} = \begin{pmatrix} \alpha & 0 \\ 0 & \tilde{\alpha} \end{pmatrix}, \quad \alpha, \tilde{\alpha} \in \mathbb{R}$$

$D_{\underline{\alpha},y}$ provides a generalisation of two models of relativistic point interactions considered in [lett. Math. Phys $\underline{13}$, 345-358 (1987)].

We define $D_{\underline{\alpha},y}$ using the theory of self adjoint extensions of symmetric closed operators in Hilbert spaces, derive its resolvent equation, analyze its spectral properties and discuss scattering theory for the pair $(D_{\underline{\alpha},y}, D)$. We also study the nonrelativistic limit corresponding to $D_{\underline{\alpha},y}$ which provides a new 2-parameters model of nonrelativistic point interactions in one dimension.

1 Introduction

Relativistic point interactions in one dimension have been discussed for a long time in various areas of physics, in particular in connection with the Kronig-Penney type models and Saxon-Hutner conjecture(see e.g[1-9] and references therein).

The first rigorous mathematical formulation of these interactions was given in [9] using the theory of self adjoint extensions of symmetric closed operators in Hilbert spaces.

Indeed [9] defines two models $D_{\alpha,y}$ and $T_{\beta,y}$ of relativistic point interactions which provide natural generalisation of nonrelativistic one dimensional δ -interactions of the first and the second type [10].

This paper consider a 2- parameters model $D_{\underline{\alpha},y}$ of relativistic point interactions in one dimension formally given by:

$$D_{\underline{\alpha},y} = D + \underline{\alpha}\delta(x-y); x \in \mathbb{R}, y > 0$$

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where D is the free Dirac Hamiltonian and $\underline{\underline{\alpha}}$ is a 2×2 matrix of the form

$$\underline{\underline{\alpha}} = \begin{pmatrix} \alpha & 0 \\ 0 & \tilde{\alpha} \end{pmatrix}, \quad \alpha, \tilde{\alpha} \in \mathbb{R}.$$

To the best of our knowledge, this model is new. It provides a straightforward generalisation of the models $D_{\alpha,y}$ and $T_{\beta,y}$ discussed in [9] which correspond to the special cases $\alpha \neq 0, \tilde{\alpha} = 0$ and $\alpha = 0, \tilde{\alpha} = -c^2\beta \neq 0$ respectively.

The paper is organized as follows. In Section 2, we define the quantum Hamiltonian $D_{\underline{\underline{\alpha}},y}$ following the strategy used in [11, 12] in the case of relativistic δ -sphere interactions. We also derive the resolvent equation of $D_{\underline{\underline{\alpha}},y}$ analyse its spectral properties and carry out a systematic study of the scattering theory for the pair $(D_{\underline{\underline{\alpha}},y}, D)$.

The nonrelativistic limit corresponding to $D_{\underline{\underline{\alpha}},y}$ defines a new 2-parameters model $\Delta_{\alpha,\beta,y}$ of nonrelativistic point interactions in one dimension.

Section 3 is devoted to the study of $\Delta_{\alpha,\beta,y}$.

In forthcoming paper[13] we generalize the results of sections 2 and 3 to finitely and infinitely many relativistic point interactions as well as random interactions.

2 THE RELATIVISTIC POINT INTERACTION

A. Definition of the Hamiltonian

The quantum Hamiltonian describing a relativistic point interaction is formally given by

$$H = D + \underline{\underline{\alpha}}\delta(x - y); x \in \mathbb{R}, y > 0 \quad (1)$$

where $\underline{\underline{\alpha}}$ is a 2×2 matrix of the form

$$\underline{\underline{\alpha}} = \begin{pmatrix} \alpha & 0 \\ 0 & \tilde{\alpha} \end{pmatrix}, \quad \alpha, \tilde{\alpha} \in \mathbb{R} \quad (2)$$

and the one-dimension free Dirac operator in the Hilbert space $\mathcal{H} = L^2(\mathbb{R}) \otimes \mathbb{C}^2$ is defined by[9]

$$\begin{aligned} D &= -ic\frac{d}{dx} \otimes \sigma_1 + \left(\frac{c^2}{2}\right) \otimes \sigma_3 \\ &= \begin{pmatrix} \frac{c^2}{2} & -ic\frac{d}{dx} \\ -ic\frac{d}{dx} & -\frac{c^2}{2} \end{pmatrix} \\ \mathcal{D}(D) &= H^{2,1}(\mathbb{R}) \otimes \mathbb{C}^2 \end{aligned} \quad (3)$$

where

- (i) $\sigma_1 = \begin{pmatrix} 0 & 1 \\ 1 & 0 \end{pmatrix}, \sigma_3 = \begin{pmatrix} 1 & 0 \\ 0 & -1 \end{pmatrix}$ are Pauli matrices in \mathbb{C}^2
- (ii) c is the velocity of light
- (iii) $H^{m,n}(\Omega)$ is the Sobolev space of indices (m, n) .

We consider the symmetric closed operator \dot{D}_y defined by

$$\begin{aligned} \dot{D}_y &= D, \\ \mathcal{D}(\dot{D}_y) &= \left\{ g = \begin{pmatrix} g_1 \\ g_2 \end{pmatrix} \in H^{2,1}(\mathbb{R}) \otimes \mathbb{C}^2 \mid g(y \pm) = 0 \right\}. \end{aligned} \quad (4)$$

The adjoint \dot{D}_y^* of \dot{D}_y reads

$$\dot{D}_y^* = D,$$

$$\mathcal{D}(\dot{D}_y^*) = \left\{ g = \begin{pmatrix} g_1 \\ g_2 \end{pmatrix} \in H^{2,1}(\mathbb{R}) \otimes \mathbb{C}^2 \mid g \in \text{AC}_{loc}(\mathbb{R} - \{y\}) \right\}. \quad (5)$$

$\text{AC}_{loc}(\Omega)$ denotes the set of locally absolutely continuous functions on Ω .

A straightforward computation shows that the equation

$$\dot{D}_y^* g(z) = zg(z), \quad g \in \mathcal{D}(\dot{D}_y^*), \quad z \in \mathbb{C} - \left\{ (-\infty, -\frac{c^2}{2}] \cup [\frac{c^2}{2}, \infty) \right\} \quad (6)$$

has the solutions

$$g^{(1)}(z, x) = \begin{cases} \begin{pmatrix} e^{ik'(x-y)} \\ e^{ik'(x-y)} \\ 0 \end{pmatrix} & x > y \\ \begin{pmatrix} 0 \\ 0 \\ 0 \end{pmatrix} & x < y \end{cases}, \quad g^{(2)}(z, x) = \begin{cases} \begin{pmatrix} 0 \\ 0 \\ e^{ik'(y-x)} \end{pmatrix} & x < y \\ \begin{pmatrix} 0 \\ e^{ik'(y-x)} \\ e^{ik'(y-x)} \end{pmatrix} & x > y \end{cases}, \quad \text{Im}k' > 0 \quad (7)$$

where

$$k' = \frac{1}{c} \sqrt{z^2 - \frac{c^4}{4}} \equiv k'(z). \quad (8)$$

Thus \dot{D}_y has deficiency indices (2,2) and hence it has a four-parameter family of self-adjoint extensions. Let us now construct the self-adjoint extension corresponding to the free Dirac operator with the potential

$$V(x) = \underline{\underline{\alpha}} \delta(x-y), \quad \underline{\underline{\alpha}} = \begin{pmatrix} \alpha & 0 \\ 0 & \tilde{\alpha} \end{pmatrix}, \quad \alpha, \tilde{\alpha} \in \mathbb{R}. \quad (9)$$

assume that g satisfies the equation

$$\begin{aligned} [D + \underline{\underline{\alpha}} \delta(x-y)]g &= zg, \\ D &= \begin{pmatrix} \frac{c^2}{2} & -ic \frac{d}{dx} \\ -ic \frac{d}{dx} & -\frac{c^2}{2} \end{pmatrix}, \quad \underline{\underline{\alpha}} = \begin{pmatrix} \alpha & 0 \\ 0 & \tilde{\alpha} \end{pmatrix}, \quad \alpha, \tilde{\alpha} \in \mathbb{R} \end{aligned} \quad (10)$$

and the limits $g(y\pm)$ exist. Integrating Eq (10) over $(y-\epsilon, y+\epsilon)$ and taking the limit $\epsilon \rightarrow 0$ we get

$$\left(1 + i \frac{\tilde{\tau}_0 \underline{\underline{\alpha}}}{2c}\right) g(y+) - \left(1 - i \frac{\tilde{\tau}_0 \underline{\underline{\alpha}}}{2c}\right) g(y-) = 0 \quad (11)$$

where

$$\tilde{\tau}_0 = \begin{pmatrix} 0 & 1 \\ 1 & 0 \end{pmatrix}. \quad (12)$$

As indicated in [11], the boundary conditions in (11) defines a self-adjoint operator of \dot{D}_y iff $\underline{\underline{\alpha}} = \underline{\underline{\alpha}}^\dagger$.

Consider in $L^2(\mathbb{R}) \otimes \mathbb{C}^2$ the operator $D_{\underline{\underline{\alpha}}, y}$ defined by

$$\begin{aligned} D_{\underline{\underline{\alpha}}, y} &= \begin{pmatrix} \frac{c^2}{2} & -ic \frac{d}{dx} \\ -ic \frac{d}{dx} & -\frac{c^2}{2} \end{pmatrix} \\ \mathcal{D}(D_{\underline{\underline{\alpha}}, y}) &= \left\{ g \in \mathcal{D}(\dot{D}_y^*) \mid \left(1 + i \frac{\tilde{\tau}_0 \underline{\underline{\alpha}}}{2c}\right) g(y+) - \left(1 - i \frac{\tilde{\tau}_0 \underline{\underline{\alpha}}}{2c}\right) g(y-) = 0 \right\}. \end{aligned} \quad (13)$$

According to [11], the operator $D_{\underline{\underline{\alpha}}, y}$ provides the mathematical definition of the formal expression (1).

The case $\underline{\underline{\alpha}} = 0$ (*i.e.* $\alpha = \tilde{\alpha} = 0$) in Eq (13) yields the free Dirac Hamiltonian $D_{0, y} \equiv D$.

The case $\alpha \neq 0, \tilde{\alpha} = 0$ in Eq (13) yields the Hamiltonian $D_{\alpha, y}$ which describes the relativistic δ -point interaction of the first type centered at $y \in \mathbb{R}$ defined by[9].

$$D_{\alpha, y} = D,$$

$$\begin{aligned} \mathcal{D}(D_{\alpha,y}) &= \{g \in H^{2,1}(\mathbb{R} - \{y\}) \otimes \mathbb{C}^2 | g_2 \in \text{AC}_{loc}(\mathbb{R}), g_1 \in \text{AC}_{loc}(\mathbb{R} - \{y\}); \\ &\quad g_2(y+) - g_2(y-) = -(i\alpha/c)g_1(y)\}, \quad -\infty < \alpha \leq \infty. \end{aligned} \quad (14)$$

The case $\alpha = 0, \tilde{\alpha} = -c^2\beta \neq 0$ in Eq (13) yields the Hamiltonian $T_{\beta,y}$ which describes the relativistic δ -point interaction of the second type centered at $y \in \mathbb{R}$ defined by[9].

$$\begin{aligned} T_{\beta,y} &= D, \\ \mathcal{D}(T_{\beta,y}) &= \{g \in H^{2,1}(\mathbb{R} - \{y\}) \otimes \mathbb{C}^2 | g_2 \in \text{AC}_{loc}(\mathbb{R} - \{y\}), g_1 \in \text{AC}_{loc}(\mathbb{R}); \\ &\quad g_1(y+) - g_1(y-) = i\beta c g_2(y)\}, \quad -\infty < \beta \leq \infty. \end{aligned} \quad (15)$$

Following [11], we note that all the results corresponding to $D_{\underline{\alpha},y}$ could be generalised to the model $D_{\hat{\alpha},y}$ formally given by

$$H = D + \hat{\alpha}\delta(x - y); x \in \mathbb{R}, y > 0 \quad (16)$$

where $\hat{\alpha}$ is a non diagonal 2×2 matrix with $\hat{\alpha} = \hat{\alpha}^+$.

B. The resolvent equation

From the Krein resolvent formula [14] and after a straightforward computation(see, e.g., [10]), we obtain

$$\begin{aligned} (D_{\underline{\alpha},y} - z)^{-1} &= (D - z)^{-1} - \frac{1}{(2c + i\alpha\zeta)(2c + i\tilde{\alpha}\zeta^{-1})} \{\alpha(\overline{\tilde{f}_{k'}(\cdot - y)}, \cdot) f_{k'}(\cdot - y) + \\ &\quad + \tilde{\alpha}(\overline{\tilde{g}_{k'}(\cdot - y)}, \cdot) g_{k'}(\cdot - y) + i\frac{\alpha\tilde{\alpha}}{2c}(\overline{\tilde{f}_{k'}(\cdot - y)}, \cdot) \hat{f}_{k'}(\cdot - y) + \\ &\quad + i\frac{\alpha\tilde{\alpha}}{2c}(\overline{\tilde{g}_{k'}(\cdot - y)}, \cdot) \hat{g}_{k'}(\cdot - y)\}, \quad z \in \rho(D_{\underline{\alpha},y}), \quad \text{Im}k' > 0 \end{aligned} \quad (17)$$

where $R_{k'} = (D - z)^{-1}$, $z \in \mathbb{C} - \left\{(-\infty, -\frac{c^2}{2}] \cup [\frac{c^2}{2}, \infty)\right\}$ is the free Dirac resolvent with integral kernel[9]

$$\begin{aligned} R_{k'}(x - x') &= \frac{i}{2c} \begin{pmatrix} \zeta & \text{sgn}(x - x') \\ \text{sgn}(x - x') & \zeta^{-1} \end{pmatrix} e^{ik'|x-x'|}, \\ \zeta &= [z + \frac{c^2}{2}]/k'(z), \quad \text{Im}k'(z) \geq 0, \quad z \in \mathbb{C} \end{aligned} \quad (18)$$

and

$$\begin{aligned} f_{k'}(x) &= \begin{pmatrix} \zeta \\ \text{sgn}(x) \end{pmatrix} e^{ik'|x|}, \quad \tilde{f}_{k'}(x) = \begin{pmatrix} -\zeta \\ \text{sgn}(x) \end{pmatrix} e^{ik'|x|}, \\ g_{k'}(x) &= \begin{pmatrix} \text{sgn}(x) \\ \zeta^{-1} \end{pmatrix} e^{ik'|x|}, \quad \tilde{g}_{k'}(x) = \begin{pmatrix} \text{sgn}(x) \\ -\zeta^{-1} \end{pmatrix} e^{ik'|x|}, \\ \hat{f}_{k'}(x) &= \begin{pmatrix} 1 \\ \text{sgn}(x)\zeta^{-1} \end{pmatrix} e^{ik'|x|}, \quad \hat{g}_{k'}(x) = \begin{pmatrix} \text{sgn}(x)\zeta \\ 1 \end{pmatrix} e^{ik'|x|}, \\ &\quad z \in \mathbb{C} - \left\{(-\infty, -\frac{c^2}{2}] \cup [\frac{c^2}{2}, \infty)\right\}, \quad \text{Im}k' > 0. \end{aligned} \quad (19)$$

Remark 1 : From Eq (17), a straightforward computation shows

(i) As $\tilde{\alpha} \rightarrow 0$, the Hamiltonian $D_{\underline{\alpha},y}$ converge in the norm resolvent sense to $D_{\alpha,y}$

$$n. \lim_{\tilde{\alpha} \rightarrow 0} (D_{\underline{\alpha},y} - z)^{-1} = (D_{\alpha,y} - z)^{-1}, \quad z \in \rho(D_{\underline{\alpha},y}) \cap \rho(D_{\alpha,y}) \quad (20)$$

where[9]

$$(D_{\alpha,y} - z)^{-1} = (D - z)^{-1} - \frac{\alpha}{2c(2c + i\alpha\zeta)} \overline{(\tilde{f}_{k'}(\cdot - y), \cdot)} f_{k'}(\cdot - y),$$

$$z \in \rho(D_{\alpha,y}), \quad \text{Im} k' > 0 \quad (21)$$

(ii) Let $\tilde{\alpha} = -\beta c^2$, $\beta \in \mathbb{R}$. Then as $\alpha \rightarrow 0$, the Hamiltonian $D_{\underline{\alpha},y}$ converge in the norm resolvent sense to $T_{\beta,y}$

$$n. \lim_{\alpha \rightarrow 0} (D_{\underline{\alpha},y} - z)^{-1} = (T_{\beta,y} - z)^{-1}, \quad z \in \rho(D_{\underline{\alpha},y}) \cap \rho(T_{\beta,y}) \quad (22)$$

where[9]

$$(T_{\beta,y} - z)^{-1} = (D - z)^{-1} + \frac{\beta}{2(2 - i\beta c \zeta^{-1})} (\overline{\tilde{g}_{k'}(\cdot - y)}, \cdot) g_{k'}(\cdot - y), \\ z \in \rho(T_{\beta,y}), \quad \text{Im} k' > 0. \quad (23)$$

The following theorem gives the additional information on the domain of $D_{\underline{\alpha},y}$.

Theorem 2.1 : The domain $\mathcal{D}(D_{\underline{\alpha},y})$, $-\infty < \alpha, \tilde{\alpha} \leq \infty$, $y \in \mathbb{R}$, consists of all elements $\psi_{\underline{\alpha}}$ of the type

$$\psi_{\underline{\alpha}}(x) = \phi_{k'}(x) - \frac{2ic}{(2c + i\alpha\zeta)(2c + i\tilde{\alpha}\zeta^{-1})} \{ \alpha \phi_{k',1}(y) f_{k'}(x - y) + \tilde{\alpha} \phi_{k',2}(y) g_{k'}(x - y) + \\ + i \frac{\alpha \tilde{\alpha}}{2c} \phi_{k',1}(y) \hat{f}_{k'}(x - y) + i \frac{\alpha \tilde{\alpha}}{2c} \phi_{k',2}(y) \hat{g}_{k'}(x - y) \}, \quad x \neq y. \quad (24)$$

where $\phi_{k'} = \begin{pmatrix} \phi_{k',1} \\ \phi_{k',2} \end{pmatrix} \in \mathcal{D}(D) = H^{2,1}(\mathbb{R}) \otimes \mathbb{C}^2$ and $\text{Im} k' > 0$. The decomposition (24) is unique and with $\psi_{\underline{\alpha}}$ of this form we obtain

$$(D_{\underline{\alpha},y} - z) \psi_{\underline{\alpha}} = (D - z) \phi_{k'}. \quad (25)$$

Let $\psi_{\underline{\alpha}} \in \mathcal{D}(D_{\underline{\alpha},y})$ and assume that $\psi_{\underline{\alpha}} = 0$ in an open set $\vartheta \in \mathbb{R}$. Then $D_{\underline{\alpha},y} \psi_{\underline{\alpha}} = 0$ in ϑ , i.e., $D_{\underline{\alpha},y}$ describes a local interaction.

Proof. The following relation

$$\mathcal{D}(D_{\underline{\alpha},y}) = (D_{\underline{\alpha},y} - z)^{-1} (D - z) \mathcal{D}(D) \\ = \left\{ R_{k'} - \frac{1}{(2c + i\alpha\zeta)(2c + i\tilde{\alpha}\zeta^{-1})} [\alpha (\tilde{f}_{k'}(\cdot - y), \cdot) f_{k'}(\cdot - y) + \right. \\ \left. + \tilde{\alpha} (\tilde{g}_{k'}(\cdot - y), \cdot) g_{k'}(\cdot - y) + i \frac{\alpha \tilde{\alpha}}{2c} (\tilde{f}_{k'}(\cdot - y), \cdot) \hat{f}_{k'}(\cdot - y) + \right. \\ \left. + i \frac{\alpha \tilde{\alpha}}{2c} (\tilde{g}_{k'}(\cdot - y), \cdot) \hat{g}_{k'}(\cdot - y) \right\} (D - z) \mathcal{D}(D), \quad z \in \rho(D_{\underline{\alpha},y}), \quad \text{Im} k' > 0 \quad (26)$$

proves (24).

Next let $\psi_{\underline{\alpha}} = 0$, then

$$\phi_{k'}(x) = \frac{2ic}{(2c + i\alpha)(2c + i\tilde{\alpha}\zeta^{-1})} \{ \alpha \phi_{k',1}(y) f_{k'}(x - y) + \tilde{\alpha} \phi_{k',2}(y) g_{k'}(x - y) + \\ + i \frac{\alpha \tilde{\alpha}}{2c} \phi_{k',1}(y) \hat{f}_{k'}(x - y) + i \frac{\alpha \tilde{\alpha}}{2c} \phi_{k',2}(y) \hat{g}_{k'}(x - y) \} \quad (27)$$

and $\phi_{k'} \in C^0(\mathbb{R})$, implies $\phi_{k'} = 0$ which prove the uniqueness of (24). Relation (25) follows from

$$(D_{\underline{\alpha},y} - z)^{-1} (D - z) \phi_{k'} = \phi_{k'} - \frac{1}{(2c + i\alpha\zeta)(2c + i\tilde{\alpha}\zeta^{-1})} \{ \alpha (\tilde{f}_{k'}(\cdot - y), (D - z) \phi_{k'}) f_{k'}(\cdot - y) + \\ + \tilde{\alpha} (\tilde{g}_{k'}(\cdot - y), (D - z) \phi_{k'}) g_{k'}(\cdot - y) + i \frac{\alpha \tilde{\alpha}}{2c} (\tilde{f}_{k'}(\cdot - y), (D - z) \phi_{k'}) \hat{f}_{k'}(\cdot - y) + \\ + i \frac{\alpha \tilde{\alpha}}{2c} (\tilde{g}_{k'}(\cdot - y), (D - z) \phi_{k'}) \hat{g}_{k'}(\cdot - y) \} \\ = \psi_{\underline{\alpha}}, \quad z \in \rho(D_{\underline{\alpha},y}), \quad \text{Im} k' > 0. \quad (28)$$

Let now prove locality. We assume first $y \notin \vartheta$. then

$$\begin{aligned} ((D - z)(\alpha\phi_{k',1}(y)f_{k'}(\cdot - y) + \tilde{\alpha}\phi_{k',2}(y)g_{k'}(\cdot - y) + i\frac{\alpha\tilde{\alpha}}{2c}\phi_{k',1}(y)\hat{f}_{k'}(\cdot - y) + \\ + i\frac{\alpha\tilde{\alpha}}{2c}\phi_{k',1}(y)\hat{g}_{k'}(\cdot - y)))(x) = 0 \end{aligned} \quad (29)$$

implies that

$$\begin{aligned} (D_{\underline{\alpha},y}\psi_{\underline{\alpha}})(x) &= z\psi_{\underline{\alpha}}(x) + ((D - z)\phi_{k'})(x) \\ &= \frac{2ic}{(2c + i\alpha\zeta)(2c + i\tilde{\alpha}\zeta^{-1})}((D - z)(\alpha\phi_{k',1}(y)f_{k'}(\cdot - y) + \tilde{\alpha}\phi_{k',2}(y)g_{k'}(\cdot - y) + \\ &+ i\frac{\alpha\tilde{\alpha}}{2c}\phi_{k',1}(y)\hat{f}_{k'}(\cdot - y) + i\frac{\alpha\tilde{\alpha}}{2c}\phi_{k',1}(y)\hat{g}_{k'}(\cdot - y)))(x) = 0, \quad x \in \vartheta \end{aligned} \quad (30)$$

Second, if $y \in \vartheta$ then $\psi_{\underline{\alpha}}(y) = 0$ and $\phi_{k'} \in C^0(\mathbb{R})$ implies $\phi_{k'} = 0$ and hence

$$(D_{\underline{\alpha},y}\psi_{\underline{\alpha}})(x) = z\psi_{\underline{\alpha}}(x) = 0, \quad x \in \vartheta. \quad (31)$$

C. Spectral properties

The spectral properties of $D_{\underline{\alpha},y}$ follow from (17). For $\alpha, \tilde{\alpha} \in \mathbb{R}$ the essential spectrum is purely absolutely continuous and coincide with $(-\infty, -\frac{c^2}{2}] \cup [\frac{c^2}{2}, \infty)$. The point spectrum of $D_{\underline{\alpha},y}$ in $[-\frac{c^2}{2}, \frac{c^2}{2}]$ contains the poles of the resolvent equation (17). Then $D_{\underline{\alpha},y}$ has two eigenvalues in $[-\frac{c^2}{2}, \frac{c^2}{2}]$ iff $\alpha, \tilde{\alpha} < 0$

$$\sigma_p(D_{\underline{\alpha},y}) = \begin{cases} \left\{ \frac{c^2(4c^2 - \alpha^2)}{2(4c^2 + \alpha^2)}, \frac{c^2(\tilde{\alpha}^2 - 4c^2)}{2(4c^2 + \tilde{\alpha}^2)} \right\}, & \alpha, \tilde{\alpha} < 0 \\ \emptyset, & \alpha, \tilde{\alpha} \geq 0, \alpha = \tilde{\alpha} = \infty \end{cases} \quad (32)$$

and two resonances iff $\alpha, \tilde{\alpha} > 0$.

Following the strategy of [9], one proves that the operator $(D_{\underline{\alpha},y} - \frac{c^2}{2})$ converges in the norm resolvent sense to the Schrödinger operator $\Delta_{\alpha,\beta,y}$

$$n - \lim_{c \rightarrow \infty} (D_{\underline{\alpha},y} - \frac{c^2}{2} - z)^{-1} = (\Delta_{\alpha,\beta,y} - z)^{-1} \otimes \begin{bmatrix} 1 & 0 \\ 0 & 0 \end{bmatrix}, \quad \alpha, \beta \in \mathbb{R} \quad (33)$$

where

$$\begin{aligned} \Delta_{\alpha,\beta,y} &= -\frac{d^2}{dx^2}, \\ \mathcal{D}(\Delta_{\alpha,\beta,y}) &= \left\{ g \in H^{2,2}(\mathbb{R} - \{y\}) \mid \begin{array}{l} g'(y+) - g'(y-) = \frac{\alpha}{2}[g(y+) + g(y-)], \\ g(y+) - g(y-) = \frac{\beta}{2}[g'(y+) + g'(y-)] \end{array} \right\}, \quad -\infty < \alpha, \beta \leq \infty. \end{aligned} \quad (34)$$

The Hamiltonian $\Delta_{\alpha,\beta,y}$ defines a new exactly solvable model of nonrelativistic point interaction. In section 2.A we will discuss the properties of the above Hamiltonian.

In particular, as $c \rightarrow \infty$, the two eigenvalues of $D_{\underline{\alpha},y}$ (rest energy subtracted) $(E_{\underline{\alpha},y}^{(1)} - \frac{c^2}{2})$, $(E_{\underline{\alpha},y}^{(2)} - \frac{c^2}{2})$ give their respective nonrelativistic limits

$$\begin{aligned} \lim_{c \rightarrow \infty} (E_{\underline{\alpha},y}^{(1)} - \frac{c^2}{2}) &= \lim_{c \rightarrow \infty} \left(\frac{c^2(4c^2 - \alpha^2)}{2(4c^2 + \alpha^2)} - \frac{c^2}{2} \right) \\ &= -\frac{\alpha^2}{4} \lim_{c \rightarrow \infty} \left[1 + \frac{\alpha^2}{4c^2} \right]^{-1} \\ &= -\frac{\alpha^2}{4}, \end{aligned} \quad (35)$$

$$\begin{aligned}
\lim_{c \rightarrow \infty} (E_{\underline{\alpha}, y}^{(2)} - \frac{c^2}{2}) &= \lim_{c \rightarrow \infty} \left(\frac{c^2(\tilde{\alpha}^2 - 4c^2)}{2(4c^2 + \tilde{\alpha}^2)} - \frac{c^2}{2} \right) \\
&= -\frac{4}{\beta^2} \lim_{c \rightarrow \infty} \left[1 + \frac{4}{\beta^2 c^2} \right]^{-1}, \quad \beta = -\frac{\tilde{\alpha}}{c^2} \\
&= -\frac{4}{\beta^2}.
\end{aligned} \tag{36}$$

In section 2.C we will show that $-\frac{\alpha^2}{4}$ and $-\frac{4}{\beta^2}$ are the two eigenvalues of $\Delta_{\alpha, \beta, y}$ [see Eq(69)].

D. Scattering theory of the pair $(D_{\underline{\alpha}, y}, D)$

From (24), the scattering wave functions of $D_{\underline{\alpha}, y}$ are defined by

$$\begin{aligned}
\psi_{\underline{\alpha}, y}(k, \sigma, x) &= \begin{pmatrix} e^{ik'\sigma x} \\ \sigma\zeta^{-1}e^{ik'\sigma x} \end{pmatrix} - \frac{2ice^{ik'\sigma y}}{(2c + i\alpha\zeta)(2c + i\tilde{\alpha}\zeta^{-1})} \left\{ \alpha \begin{Bmatrix} \begin{pmatrix} \zeta \\ 1 \end{pmatrix} e^{ik'(x-y)}, & x > y \\ \begin{pmatrix} \zeta \\ -1 \end{pmatrix} e^{ik'(y-x)}, & x < y \end{Bmatrix} + \right. \\
&+ \tilde{\alpha}\sigma\zeta^{-1} \begin{Bmatrix} \begin{pmatrix} 1 \\ \zeta^{-1} \end{pmatrix} e^{ik'(x-y)}, & x > y \\ \begin{pmatrix} -1 \\ \zeta^{-1} \end{pmatrix} e^{ik'(y-x)}, & x < y \end{Bmatrix} + i\frac{\alpha\tilde{\alpha}}{2c} \begin{Bmatrix} \begin{pmatrix} 1 \\ \zeta^{-1} \end{pmatrix} e^{ik'(x-y)}, & x > y \\ \begin{pmatrix} 1 \\ -\zeta^{-1} \end{pmatrix} e^{ik'(y-x)}, & x < y \end{Bmatrix} + \\
&\left. + i\frac{\sigma\zeta^{-1}\alpha\tilde{\alpha}}{2c} \begin{Bmatrix} \begin{pmatrix} \zeta \\ 1 \end{pmatrix} e^{ik'(x-y)}, & x > y \\ \begin{pmatrix} -\zeta \\ 1 \end{pmatrix} e^{ik'(y-x)}, & x < y \end{Bmatrix} \right\}, \\
&x, y \in \mathbb{R}, \quad k' \geq 0, \quad \sigma = \pm 1, \quad -\infty < \alpha, \tilde{\alpha} \leq \infty.
\end{aligned} \tag{37}$$

A straightforward computations shows that $\psi_{\underline{\alpha}, y}(k, \sigma)$ are eigenfunctions associated with $D_{\underline{\alpha}, y}$ corresponding to left ($\sigma = +1$) and right ($\sigma = -1$) incidence[10].

The asymptotic forms of $\psi_{\underline{\alpha}, y}$ are defined by[10, 15]

$$\begin{aligned}
\psi_{\underline{\alpha}, y}(z, +1, x) &= \begin{cases} T_{\underline{\alpha}, y}^l(z)\psi(z, +1, x) & \text{as } x \rightarrow \infty \\ \psi(z, +1, x) + \mathcal{R}_{\underline{\alpha}, y}^l(z)\psi(z, -1, x) & \text{as } x \rightarrow -\infty, \end{cases} \\
\psi_{\underline{\alpha}, y}(z, -1, x) &= \begin{cases} \psi(z, -1, x) + \mathcal{R}_{\underline{\alpha}, y}^r(z)\psi(z, +1, x) & \text{as } x \rightarrow \infty, \\ T_{\underline{\alpha}, y}^r(z)\psi(z, -1, x) & \text{as } x \rightarrow -\infty \end{cases}
\end{aligned} \tag{38}$$

where $\psi(z, \sigma, x)$ is the solution of $D\psi = z\psi$ given by

$$\psi(z, \sigma, x) = \begin{pmatrix} e^{i\sigma k'x} \\ \sigma\zeta^{-1}e^{i\sigma k'x} \end{pmatrix}, \quad \sigma = \pm 1 \tag{39}$$

with k' and ζ are defined by (8) and (18) respectively. Then the reflection and transmission coefficients from the left ($\sigma = +1$) and the right ($\sigma = -1$) are defined by

$$\begin{aligned}
\mathcal{R}_{\underline{\alpha}, y}^l(z) &= \lim_{x \rightarrow -\infty} \frac{1}{2} \begin{pmatrix} e^{ik'x} \\ -\zeta e^{ik'x} \end{pmatrix} \left[\psi_{\underline{\alpha}, y}(z, +1, x) - \begin{pmatrix} e^{ik'x} \\ \zeta^{-1}e^{ik'x} \end{pmatrix} \right] \\
\mathcal{R}_{\underline{\alpha}, y}^r(z) &= \lim_{x \rightarrow +\infty} \frac{1}{2} \begin{pmatrix} e^{-ik'x} \\ \zeta e^{-ik'x} \end{pmatrix} \left[\psi_{\underline{\alpha}, y}(z, -1, x) - \begin{pmatrix} e^{-ik'x} \\ -\zeta^{-1}e^{-ik'x} \end{pmatrix} \right] \\
T_{\underline{\alpha}, y}^l(z) &= \lim_{x \rightarrow +\infty} \frac{1}{2} \begin{pmatrix} e^{-ik'x} \\ \zeta e^{-ik'x} \end{pmatrix} \psi_{\underline{\alpha}, y}(z, +1, x) \\
T_{\underline{\alpha}, y}^r(z) &= \lim_{x \rightarrow -\infty} \frac{1}{2} \begin{pmatrix} e^{ik'x} \\ -\zeta e^{ik'x} \end{pmatrix} \psi_{\underline{\alpha}, y}(z, -1, x), \quad k' \geq 0, \quad -\infty < \alpha, \tilde{\alpha} \leq \infty, \quad y \in \mathbb{R}.
\end{aligned} \tag{40}$$

After a straightforward computation, one obtains

Theorem 2.2 : Let $\alpha, \tilde{\alpha} \in \mathbb{R} - \{0\}$, $y \in \mathbb{R}$. Then the unitary on-shell scattering matrix $\mathcal{S}_{\underline{\alpha}, y}(z)$ in \mathbb{C}^2 associated with the paire $(D_{\underline{\alpha}, y}, D)$ reads

$$\mathcal{S}_{\underline{\alpha}, y}(z) = \begin{bmatrix} T_{\underline{\alpha}, y}^l(z) & \mathcal{R}_{\underline{\alpha}, y}^r(z) \\ \mathcal{R}_{\underline{\alpha}, y}^l(z) & T_{\underline{\alpha}, y}^r(z) \end{bmatrix}, \quad k' \geq 0, \quad -\infty < \alpha, \tilde{\alpha} \leq \infty, \quad y \in \mathbb{R} \tag{41}$$

with

$$\mathcal{T}_{\underline{\alpha},y}^l(z) = 1 - \frac{2ic}{(2c + i\alpha\zeta)(2c + i\tilde{\alpha}\zeta^{-1})} \left(\alpha\zeta + \tilde{\alpha}\zeta^{-1} + i\frac{\alpha\tilde{\alpha}}{c} \right) = \mathcal{T}_{\underline{\alpha},y}^r(z), \quad (42)$$

$$\mathcal{R}_{\underline{\alpha},y}^l(z) = -\frac{2ic}{(2c + i\alpha\zeta)(2c + i\tilde{\alpha}\zeta^{-1})} \left(\alpha\zeta - \tilde{\alpha}\zeta^{-1} \right) e^{2ik'y} \quad (43)$$

$$\mathcal{R}_{\underline{\alpha},y}^r(z) = -\frac{2ic}{(2c + i\alpha\zeta)(2c + i\tilde{\alpha}\zeta^{-1})} \left(\alpha\zeta - \tilde{\alpha}\zeta^{-1} \right) e^{-2ik'y} \quad (44)$$

In particular, as $c \rightarrow \infty$, the unitary on-shell scattering matrix $\mathcal{S}_{\underline{\alpha},y}(z)$ gives its nonrelativistic limit $\mathcal{S}_{\alpha,\beta,y}(k)$ [see Eq(74)].

Indeed

$$\lim_{c \rightarrow \infty} \mathcal{T}_{\underline{\alpha},y}^l(z) = \lim_{c \rightarrow \infty} \left\{ 1 - \frac{2ic}{(2c + i\alpha\zeta)(2c + i\tilde{\alpha}\zeta^{-1})} \left(\alpha\zeta + \tilde{\alpha}\zeta^{-1} + i\frac{\alpha\tilde{\alpha}}{c} \right) \right\} \quad (45)$$

Let $k^2 = z - \frac{c^2}{2}$, $k > 0$ and $\tilde{\alpha} = -\beta c^2$, $\beta \in \mathbb{R}$, then after a straightforward computation (45) reads

$$\begin{aligned} \lim_{c \rightarrow \infty} \mathcal{T}_{\underline{\alpha},y}^l(z) &= \lim_{c \rightarrow \infty} \left\{ 1 - \frac{2i\alpha \left(\frac{k^2}{c^2} + 1 \right) \left(\frac{k^2}{c^2} + 1 \right)}{\left[2 \left(\frac{k^4}{c^2} + k^2 \right)^{\frac{1}{2}} + i\alpha \left(\frac{k^2}{c^2} + 1 \right) \right] \left[2 \left(\frac{k^2}{c^2} + 1 \right) - i\beta \left(\frac{k^4}{c^2} + k^2 \right)^{\frac{1}{2}} \right]} + \right. \\ &\quad \left. + \frac{2i\beta \left(\frac{k^4}{c^2} + k^2 \right) \left(\frac{k^2}{c^2} + 1 \right)}{\left[2 \left(\frac{k^4}{c^2} + k^2 \right)^{\frac{1}{2}} + i\alpha \left(\frac{k^2}{c^2} + 1 \right) \right] \left[2 \left(\frac{k^2}{c^2} + 1 \right) - i\beta \left(\frac{k^4}{c^2} + k^2 \right)^{\frac{1}{2}} \right]} \left(\frac{k^2}{c^2} + 1 \right) - \right. \\ &\quad \left. \frac{-2\alpha\beta \left(\frac{k^4}{c^2} + k^2 \right)^{\frac{1}{2}} \left(\frac{k^2}{c^2} + 1 \right)}{\left[2 \left(\frac{k^4}{c^2} + k^2 \right)^{\frac{1}{2}} + i\alpha \left(\frac{k^2}{c^2} + 1 \right) \right] \left[2 \left(\frac{k^2}{c^2} + 1 \right) - i\beta \left(\frac{k^4}{c^2} + k^2 \right)^{\frac{1}{2}} \right]} \right\} \\ &= i \frac{\left(\frac{\alpha\beta}{4} - 1 \right)}{4k^2 \left(\frac{\alpha}{4k} - \frac{i}{2} \right) \left(\frac{1}{2k^2} - i\frac{\beta}{4k} \right)} \\ &= \lim_{c \rightarrow \infty} \mathcal{T}_{\underline{\alpha},y}^r(z), \end{aligned} \quad (46)$$

$$\begin{aligned} \lim_{c \rightarrow \infty} \mathcal{R}_{\underline{\alpha},y}^l(z) &= \lim_{c \rightarrow \infty} \left\{ -\frac{2ic}{(2c + i\alpha\zeta)(2c + i\tilde{\alpha}\zeta^{-1})} \left(\alpha\zeta - \tilde{\alpha}\zeta^{-1} \right) e^{2ik'y} \right\} \\ &= \lim_{c \rightarrow \infty} \left\{ -\frac{2i\alpha \left(\frac{k^2}{c^2} + 1 \right) \left(\frac{k^2}{c^2} + 1 \right) e^{2i \left(\frac{k^4}{c^2} + k^2 \right)^{\frac{1}{2}} y}}{\left[2 \left(\frac{k^4}{c^2} + k^2 \right)^{\frac{1}{2}} + i\alpha \left(\frac{k^2}{c^2} + 1 \right) \right] \left[2 \left(\frac{k^2}{c^2} + 1 \right) - i\beta \left(\frac{k^4}{c^2} + k^2 \right)^{\frac{1}{2}} \right]} - \right. \\ &\quad \left. - \frac{2i\beta \left(\frac{k^4}{c^2} + k^2 \right) \left(\frac{k^2}{c^2} + 1 \right) e^{2i \left(\frac{k^4}{c^2} + k^2 \right)^{\frac{1}{2}} y}}{\left[2 \left(\frac{k^4}{c^2} + k^2 \right)^{\frac{1}{2}} + i\alpha \left(\frac{k^2}{c^2} + 1 \right) \right] \left[2 \left(\frac{k^2}{c^2} + 1 \right) - i\beta \left(\frac{k^4}{c^2} + k^2 \right)^{\frac{1}{2}} \right]} \left(\frac{k^2}{c^2} + 1 \right) \right\} \\ &= -\frac{\left(\frac{\alpha}{k} + \beta k \right)}{8k^2 \left(\frac{\alpha}{4k} - \frac{i}{2} \right) \left(\frac{1}{2k^2} - i\frac{\beta}{4k} \right)} e^{2iky} \end{aligned} \quad (47)$$

and

$$\begin{aligned} \lim_{c \rightarrow \infty} \mathcal{R}_{\underline{\alpha}, y}^r(z) &= \lim_{c \rightarrow \infty} \left\{ -\frac{2ic}{(2c + i\alpha\zeta)(2c + i\tilde{\alpha}\zeta^{-1})} (\alpha\zeta - \tilde{\alpha}\zeta^{-1}) e^{-2ik'y} \right\} \\ &= -\frac{\left(\frac{\alpha}{k} + \beta k\right)}{8k^2 \left(\frac{\alpha}{4k} - \frac{i}{2}\right) \left(\frac{1}{2k^2} - i\frac{\beta}{4k}\right)} e^{-2iky}. \end{aligned} \quad (48)$$

3 THE NONRELATIVISTIC POINT INTERACTION

A. Basic properties

Consider in the Hilbert space $L^2(\mathbb{R})$ the closed and nonnegative operator \tilde{H}_y defined by

$$\begin{aligned} \tilde{H}_y &= -\frac{d^2}{dx^2} \\ \mathcal{D}(\tilde{H}_y) &= \{g \in H^{2,2}(\mathbb{R}) | g(y) = g'(y) = 0\}. \end{aligned} \quad (49)$$

The adjoint \tilde{H}_y^* of \tilde{H}_y is defined by

$$\begin{aligned} \tilde{H}_y^* &= -\frac{d^2}{dx^2} \\ \mathcal{D}(\tilde{H}_y^*) &= H^{2,2}(\mathbb{R} - \{y\}), \quad y \in \mathbb{R}. \end{aligned} \quad (50)$$

Hence the equation

$$\tilde{H}_y^* f(k) = k^2 f(k), \quad f(k) \in \mathcal{D}(\tilde{H}_y^*), \quad k^2 \in \mathbb{C} - \mathbb{R}, \quad \text{Im} k > 0, \quad (51)$$

has two linearly independent solutions

$$f_1(k, x) = \begin{cases} e^{ik(x-y)}, & x > y, \\ 0, & x < y, \end{cases}, \quad f_2(k, x) = \begin{cases} 0, & x > y, \\ e^{ik(y-x)}, & x < y, \end{cases} \quad \text{Im} k > 0. \quad (52)$$

Therefore \tilde{H}_y has deficiency indices (2,2) and hence it has a four-parameter family of self-adjoint extensions. We consider in $L^2(\mathbb{R})$ the operator $\Delta_{\alpha, \beta, y}$ defined by [Eq (34)]

$$\begin{aligned} \Delta_{\alpha, \beta, y} &= -\frac{d^2}{dx^2}, \\ \mathcal{D}(\Delta_{\alpha, \beta, y}) &= \left\{ g \in H^{2,2}(\mathbb{R} - \{y\}) \left| \begin{array}{l} g'(y+) - g'(y-) = \frac{\alpha}{2}[g(y+) + g(y-)], \\ g(y+) - g(y-) = \frac{\beta}{2}[g'(y+) + g'(y-)] \end{array} \right. \right\}, \quad -\infty < \alpha, \beta \leq \infty. \end{aligned} \quad (53)$$

Let $\alpha\beta - 4 = 0$, $\alpha, \beta \in \mathbb{R}$, then the integration by parts shows $\Delta_{\alpha, \beta, y}$ is symmetric and since \tilde{H}_y has deficiency indices (2,2) and the 2 boundary conditions in (53) are symmetric and linearly independent, it follows that $\Delta_{\alpha, \beta, y}$ is self-adjoint ([16], Theorem XII.4.30). We will accept those α, β which satisfy the condition $\alpha\beta - 4 = 0$, $\alpha, \beta \in \mathbb{R}$.

The case $\alpha = 0, \beta = 0$ in equation (53) yields the kinetic energy Hamiltonian Δ_0 in $L^2(\mathbb{R})$

$$\Delta_0 = -\frac{d^2}{dx^2}, \quad \mathcal{D}(\Delta_0) = H^{2,2}(\mathbb{R}). \quad (54)$$

The case $\alpha \neq 0, \beta = 0$ in equation (53) gives the δ -point interaction of the first type, whereas $\alpha = 0, \beta \neq 0$ leads to a δ -point interaction of the second type [10].

B. Resolvent equation

The resolvent of $\Delta_{\alpha,\beta,y}$ is given by the following theorem

Theorem 3.1 : The resolvent of $\Delta_{\alpha,\beta,y}$ is given by

$$\begin{aligned}
(\Delta_{\alpha,\beta,y} - k^2)^{-1} &= G_k + \frac{1}{2\left(\frac{\alpha}{4k} - i\frac{1}{2}\right)\left(\frac{1}{2k^2} - i\frac{\beta}{4k}\right)} \left\{ i\frac{\alpha}{2k^2} (\overline{G_k(\cdot - y)}, \cdot) G_k(\cdot - y) + \right. \\
&+ i\frac{\beta}{2} (\overline{\tilde{G}_k(\cdot - y)}, \cdot) \tilde{G}_k(\cdot - y) + \frac{\alpha\beta}{4k} (\overline{G_k(\cdot - y)}, \cdot) G_k(\cdot - y) \\
&- \left. \frac{\alpha\beta}{4k} (\overline{\tilde{G}_k(\cdot - y)}, \cdot) \tilde{G}_k(\cdot - y) \right\}, \\
&k^2 \in \rho(\Delta_{\alpha,\beta}), \quad \text{Im}k > 0, \quad -\infty < \alpha, \beta \leq \infty, \quad y \in \mathbb{R}.
\end{aligned} \tag{55}$$

with integral kernel

$$\begin{aligned}
(\Delta_{\alpha,\beta,y} - k^2)^{-1}(x, x') &= i\frac{1}{2k} e^{ik|x-x'|} - \frac{1}{8k^2\left(\frac{\alpha}{4k} - i\frac{1}{2}\right)\left(\frac{1}{k^2} - i\frac{\beta}{4k}\right)} \times \\
&\times \left\{ i\frac{\alpha}{2k^2} \begin{cases} e^{ik(x-y)}, & x > y \\ e^{ik(y-x)}, & x < y \end{cases} \cdot \begin{cases} e^{ik(x'-y)}, & x' > y \\ e^{ik(y-x')}, & x' < y \end{cases} + i\frac{\beta}{2} \begin{cases} e^{ik(x-y)}, & x > y \\ -e^{ik(y-x)}, & x < y \end{cases} \right\} \times \\
&\times \left\{ \begin{cases} e^{ik(x'-y)}, & x' > y \\ -e^{ik(y-x')}, & x' < y \end{cases} + \frac{\alpha\beta}{4k} \begin{cases} e^{ik(x-y)}, & x > y \\ e^{ik(y-x)}, & x < y \end{cases} \cdot \begin{cases} e^{ik(x'-y)}, & x' > y \\ e^{ik(y-x')}, & x' < y \end{cases} - \right. \\
&- \left. \frac{\alpha\beta}{4k} \begin{cases} e^{ik(x-y)}, & x > y \\ e^{ik(y-x)}, & x < y \end{cases} \cdot \begin{cases} e^{ik(x'-y)}, & x' > y \\ e^{ik(y-x')}, & x' < y \end{cases} \right\}, \quad k^2 \in \rho(\Delta_{\alpha,\beta,y}), \text{Im}k > 0, \quad x, x' \in \mathbb{R},
\end{aligned} \tag{56}$$

where

$$G_k(x-y) = \frac{i}{2k} \begin{cases} e^{ik(x-y)}, & x > y \\ e^{ik(y-x)}, & x < y, \quad \text{Im}k > 0 \end{cases} \tag{57}$$

$$\tilde{G}_k(x-y) = \frac{i}{2k} \begin{cases} e^{ik(x-y)}, & x > y \\ -e^{ik(y-x)}, & x < y, \quad \text{Im}k > 0. \end{cases} \tag{58}$$

Proof

We use the resolvent formula

$$(\Delta_{\alpha,\beta,y} - k^2)^{-1} = G_k - \frac{1}{4k^2} \sum_{i,j=1}^2 \lambda_{ij}(k) (f_j(-\bar{k}), \cdot) f_i(k) \tag{59}$$

where f_j , $j = 1, 2$ are defined by (52).

Next consider $h \in L^2(\mathbb{R})$ and define the function $g \in \mathcal{D}(\Delta_{\alpha,\beta,y})$ by

$$g(k, x) = ((\Delta_{\alpha,\beta,y} - k^2)^{-1}h)(x). \tag{60}$$

After imposing the boundary conditions in (53), one obtain

$$\lambda(k) = \begin{bmatrix} \lambda_{11} & \lambda_{12} \\ \lambda_{21} & \lambda_{22} \end{bmatrix} \tag{61}$$

with

$$\begin{aligned}
\lambda_{11} &= \frac{1}{2\left[\frac{\alpha}{4k} - i\frac{1}{2}\right]\left[\frac{\alpha}{4k} - i\frac{1}{2}\right]} \left(i\frac{\alpha}{2k^2} + i\frac{\beta}{2} \right) \\
\lambda_{12} &= \frac{1}{2\left[\frac{\alpha}{4k} - i\frac{1}{2}\right]\left[\frac{\alpha}{4k} - i\frac{1}{2}\right]} \left(i\frac{\alpha}{2k^2} + \frac{\alpha\beta}{2k} - i\frac{\beta}{2} \right)
\end{aligned}$$

$$\begin{aligned}
\lambda_{21} &= \frac{1}{2 \left[\frac{\alpha}{4k} - i\frac{1}{2} \right] \left[\frac{\alpha}{4k} - i\frac{1}{2} \right]} \left(i\frac{\alpha}{2k^2} + \frac{\alpha\beta}{2k} - i\frac{\beta}{2} \right) \\
\lambda_{22} &= \frac{1}{2 \left[\frac{\alpha}{4k} - i\frac{1}{2} \right] \left[\frac{\alpha}{4k} - i\frac{1}{2} \right]} \left(i\frac{\alpha}{2k^2} + i\frac{\beta}{2} \right).
\end{aligned} \tag{62}$$

Inserting (62) in (59) one obtain (55).

Remark 2 : From (55) one obtain the following results

(i) As $\beta \rightarrow 0$, the Hamiltonian $\Delta_{\alpha,\beta,y}$ converge in the norm resolvent sense to $-\Delta_{\alpha,y}$

$$n. \lim_{\beta \rightarrow 0} (\Delta_{\alpha,\beta,y} - z)^{-1} = (-\Delta_{\alpha,y} - z)^{-1} \quad z \in \rho(\Delta_{\alpha,\beta,y}) \cap \rho(-\Delta_{\alpha,y}) \tag{63}$$

where[10]

$$\begin{aligned}
(-\Delta_{\alpha,y} - k^2)^{-1} &= G_k - \frac{2\alpha k}{i\alpha + 2k} \overline{(G_k(\cdot - y), \cdot)} G_k(\cdot - y), \\
&k^2 \in \rho(-\Delta_{\alpha,y}), \quad \text{Im} k > 0, \quad -\infty < \alpha \leq \infty, \quad y \in \mathbb{R}
\end{aligned} \tag{64}$$

with G_k defined by (57).

(ii) As $\alpha \rightarrow 0$, the Hamiltonian $\Delta_{\alpha,\beta,y}$ converge in the norm resolvent sense to $-\Delta_{\beta,y}$

$$n. \lim_{\alpha \rightarrow 0} (\Delta_{\alpha,\beta,y} - z)^{-1} = (-\Delta_{\beta,y} - z)^{-1} \quad z \in \rho(\Delta_{\alpha,\beta,y}) \cap \rho(-\Delta_{\beta,y}) \tag{65}$$

where[10]

$$\begin{aligned}
(-\Delta_{\beta,y} - k^2)^{-1} &= G_k - \frac{2\beta k^2}{2 - i\beta k} \overline{(\tilde{G}_k(\cdot - y), \cdot)} \tilde{G}_k(\cdot - y), \\
&k^2 \in \rho(-\Delta_{\alpha,y}), \quad \text{Im} k > 0, \quad -\infty < \beta \leq \infty, \quad y \in \mathbb{R}
\end{aligned} \tag{66}$$

with \tilde{G}_k defined by (58). The additional information on the domain of $\Delta_{\alpha,\beta,y}$ is given by the following theorem

Theorem 3.2 : The domain $\mathcal{D}(\Delta_{\alpha,\beta,y})$, $-\infty < \alpha, \beta \leq \infty$, $y \in \mathbb{R}^3$, consists of all elements $\psi_{\alpha,\beta}$ of the type

$$\begin{aligned}
\psi_{\alpha,\beta}(x) &= \varphi_k(x) + \frac{1}{2 \left(\frac{\alpha}{4k} - i\frac{1}{2} \right) \left(\frac{1}{2k^2} - i\frac{\beta}{4k} \right)} \left\{ i\frac{\alpha}{2k^2} \varphi_k(y) G_k(x-y) - \frac{\beta}{2k} \varphi'(y) \tilde{G}_k(x-y) + \right. \\
&\quad \left. + \frac{\alpha\beta}{4k} \varphi_k(y) G_k(x-y) - i\frac{\alpha\beta}{4k^2} \varphi'_k(y) \tilde{G}_k(x-y) \right\}, \quad x \neq y.
\end{aligned} \tag{67}$$

where $\varphi_k \in \mathcal{D}(\Delta_0) = H^{2,2}(\mathbb{R})$ and $\text{Im} k > 0$. The decomposition (67) is unique and with $\psi_{\alpha,\beta}$ of this form we obtain

$$(\Delta_{\alpha,\beta,y} - z)\psi_{\alpha,\beta} = (\Delta_0 - z)\varphi_k. \tag{68}$$

Let $\psi_{\alpha,\beta} \in \mathcal{D}(\Delta_{\alpha,\beta,y})$ and assume that $\psi_{\alpha,\beta} = 0$ in an open set $\tilde{\vartheta} \in \mathbb{R}^3$. Then $\Delta_{\alpha,\beta,y}\psi_{\alpha,\beta} = 0$ in $\tilde{\vartheta}$, i.e., $\Delta_{\alpha,\beta,y}$ describes a local interaction.

Proof.

Similar to the proof of theorem 2.1.

C. Spectral properties

For $\alpha, \beta \in \mathbb{R}$, the essential spectrum of $\Delta_{\alpha, \beta, y}$ is purely absolutely continuous and coincide with $[0, \infty)$ and the singular spectrum is empty. The point spectrum of $\Delta_{\alpha, \beta, y}$ are given as the pole of the resolvent equation (55), one obtain

$$\sigma_p = \begin{cases} \left\{ -\frac{\alpha^2}{4}, -\frac{4}{\beta^2} \right\} & \alpha, \beta < 0 \\ 0 & \alpha, \beta \geq 0 \end{cases} \quad (69)$$

For $\alpha, \beta > 0$, $\Delta_{\alpha, \beta, y}$ has two resonances at $k_1 = -\frac{2i}{\beta}$ and $k_2 = -\frac{i\alpha}{2}$ with resonance functions respectively given by

$$\psi_{k_1}(x) = \begin{cases} e^{\frac{\alpha}{2}(x-y)}, & x > y, \\ e^{\frac{\alpha}{2}(y-x)}, & x < y, \end{cases} \quad \alpha > 0 \quad (70)$$

$$\psi_{k_2}(x) = \begin{cases} e^{\frac{2}{\beta}(x-y)}, & x > y, \\ -e^{\frac{2}{\beta}(y-x)}, & x < y, \end{cases} \quad \beta > 0. \quad (71)$$

D. Scattering theory of the paire $(\Delta_{\alpha, \beta, y}, \Delta_0)$

From (67) one can define the generalized function associated with $\Delta_{\alpha, \beta, y}$ by

$$\begin{aligned} \psi_{\alpha, \beta, y} &= e^{ik\sigma x} + \frac{1}{4k \left(\frac{\alpha}{4k} - i\frac{1}{2} \right) \left(\frac{1}{2k^2} - i\frac{\beta}{4k} \right)} \left\{ -\frac{\alpha}{2k^2} e^{ik\sigma y} \begin{cases} e^{ik(x-y)}, & x > y \\ e^{ik(y-x)}, & x < y \end{cases} \right\} + \\ &+ \frac{\sigma\beta}{2} e^{ik\sigma y} \begin{cases} e^{ik(x-y)}, & x > y \\ -e^{ik(y-x)}, & x < y \end{cases} + i\frac{\alpha\beta}{4k} e^{ik\sigma y} \begin{cases} e^{ik(x-y)}, & x > y \\ e^{ik(y-x)}, & x < y \end{cases} + \\ &+ i\frac{\sigma\alpha\beta}{4k} e^{ik\sigma y} \begin{cases} e^{ik(x-y)}, & x > y \\ -e^{ik(y-x)}, & x < y \end{cases} \Big\}, \\ &x, y \in \mathbb{R}, k > 0, \sigma = \pm 1, -\infty < \alpha, \beta \leq \infty. \end{aligned} \quad (72)$$

The corresponding reflection and transmission coefficients from the left ($\sigma = +1$) and the right ($\sigma = -1$) are defined by[10]

$$\begin{aligned} \mathcal{R}_{\alpha, \beta, y}^l(k) &= \lim_{x \rightarrow -\infty} e^{ikx} \left[\psi_{\alpha, \beta, y}(z, +1, x) - e^{ikx} \right] \\ \mathcal{R}_{\alpha, \beta, y}^r(k) &= \lim_{x \rightarrow +\infty} e^{-ikx} \left[\psi_{\alpha, \beta, y}(k, -1, x) - e^{-ikx} \right] \\ \mathcal{T}_{\alpha, \beta, y}^l(k) &= \lim_{x \rightarrow +\infty} e^{-ikx} \psi_{\alpha, \beta, y}(k, +1, x) \\ \mathcal{T}_{\alpha, \beta, y}^r(k) &= \lim_{x \rightarrow -\infty} e^{ikx} \psi_{\alpha, \beta, y}(k, -1, x), \quad k \geq 0, -\infty < \alpha, \beta \leq \infty, y \in \mathbb{R}. \end{aligned} \quad (73)$$

After a straightforward computation, one obtain

Theorem 3.3 : Let $\alpha, \beta \in \mathbb{R} - \{0\}$, $y \in \mathbb{R}$. Then the unitary on-shell scattering matrix $\mathcal{S}_{\alpha, \beta, y}(k)$ in \mathbb{C}^2 associated with the paire $(\Delta_{\alpha, \beta, y}, \Delta_0)$ reads

$$\mathcal{S}_{\alpha, \beta, y}(k) = \begin{bmatrix} \mathcal{T}_{\alpha, \beta, y}^l(k) & \mathcal{R}_{\alpha, \beta, y}^r(k) \\ \mathcal{R}_{\alpha, \beta, y}^l(k) & \mathcal{T}_{\alpha, \beta, y}^r(k) \end{bmatrix}, \quad k \geq 0, -\infty < \alpha, \beta \leq \infty, y \in \mathbb{R} \quad (74)$$

with

$$\mathcal{T}_{\alpha, \beta, y}^l(k) = i \frac{\left(\frac{\alpha\beta}{4} - 1 \right)}{4k^2 \left(\frac{\alpha}{4k} - \frac{i}{2} \right) \left(\frac{1}{2k^2} - i\frac{\beta}{4k} \right)} = \mathcal{T}_{\alpha, \beta, y}^r(k), \quad (75)$$

$$\mathcal{R}_{\alpha,\beta,y}^l(k) = -\frac{\left(\frac{\alpha}{k} + \beta k\right)}{8k^2\left(\frac{\alpha}{4k} - \frac{i}{2}\right)\left(\frac{1}{2k^2} - i\frac{\beta}{4k}\right)}e^{2iky} \quad (76)$$

$$\mathcal{R}_{\alpha,\beta,y}^r(k) = -\frac{\left(\frac{\alpha}{k} + \beta k\right)}{8k^2\left(\frac{\alpha}{4k} - \frac{i}{2}\right)\left(\frac{1}{2k^2} - i\frac{\beta}{4k}\right)}e^{-2iky}. \quad (77)$$

We note that the limit $\beta \rightarrow 0$ (respectively $\alpha \rightarrow 0$) in Eq (74) give the unitary on-shell scattering matrix $\mathcal{S}_{\alpha,y}(k)$ ($\mathcal{S}_{\beta,y}(k)$) associated with the paire $(-\Delta_{\alpha,y}, -\Delta)$ and $(-\Delta_{\beta,y}, -\Delta)$ repectively[10]. One obtain

$$\mathcal{S}_{\alpha,\beta,y}(k) \xrightarrow{\beta \rightarrow 0} (2k + i\alpha)^{-1} \begin{bmatrix} 2k & -i\alpha e^{-2iky} \\ -i\alpha e^{2iky} & 2k \end{bmatrix} = \mathcal{S}_{\alpha,y}(k), k \geq 0, -\infty < \alpha \leq \infty, y \in \mathbb{R}, (78)$$

$$\mathcal{S}_{\alpha,\beta,y}(k) \xrightarrow{\alpha \rightarrow 0} (2 - i\beta k)^{-1} \begin{bmatrix} 2 & -i\beta k e^{-2iky} \\ -i\beta k e^{2iky} & 2 \end{bmatrix} = \mathcal{S}_{\beta,y}(k), k \geq 0, -\infty < \beta \leq \infty, y \in \mathbb{R}. (79)$$

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